

PATENT

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Applicant

James Coleman, et al

Application No.

: 10/040,981

Filed

: December 31, 2001

Title

: DUAL-FUNCTION CATHETER HANDLE

Grp./Div.

: 3763

Examiner

: Mark K. Han

Docket No.

: 45951/AW/W112

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TECHNOLOGY CENTER 3700

INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Post Office Box 7068 Pasadena, CA 91109-7068 May 3, 2004

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted, CHRISTIE, PARKER & HALE, LLP

By

Anne Wang Reg. No. 36,045

626/795-9900

AW/dlf

Enclosures: PTO/SB/08A/B, w/references

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FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	45951/AW/W112
INFORMATION DISCLOSIFEE	Application Number	10/040,981
	Filing Date	December 31, 2001
STATEMENT BY APPLICANT	Applicant(s)	James Coleman, et al.
(use as many sheets as necessary)	Group Art Unit	3763
	Examiner Name	Mark K. Han

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	Publication Date MM-DD-YYYY	Name of Patentee
		5,662,606	09-02-1997	Cimino, et al.
		5,741,320	04-21-1998	Thornton, et al.
		6,027,462	02-22-2000	Greene, et al.
		6,059,739	05-09-2000	Baumann
		6,156,027	12-05-2000	West

FOREIGN PATENT DOCUMENTS					
EXAMINER .INITIALS	Cite No. ¹	FOREIGN PATENT DOCUMENT Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✔)
		WO 97/07848 A1	03-06-1997	Russell Bowden, et al.	

OTHER DOCUMENTS			
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
•		European Search Report, for EP 02 25 9037 dated December 8, 2003.	

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EXAMINER SIGNATURE	DATE CONSIDERED	
- COLUMN CITE	CONDIDERCED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.